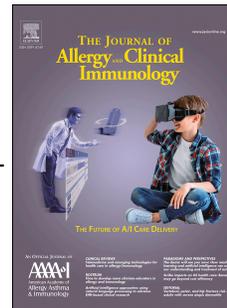


# Journal Pre-proof

Immunology-based recommendations for available and upcoming biologics in aspirin-exacerbated respiratory disease

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1 **Immunology-based recommendations for available and upcoming biologics in aspirin-**  
2 **exacerbated respiratory disease**

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27

28 **Key Words:**

29 Aspirin-exacerbated respiratory disease, respiratory biologic, immunoglobulin E, interleukin 5,  
30 interleukin 5R $\alpha$ , interleukin 4R $\alpha$ , nasal polyp, mepolizumab, benralizumab, omalizumab,  
31 dupilumab  
32  
33  
34

35 Aspirin-exacerbated respiratory disease (AERD) is characterized by severe chronic  
36 rhinosinusitis with nasal polyps (CRSwNP) and eosinophilic asthma. The upper and lower  
37 respiratory tract symptoms are notoriously difficult to treat, with many patients failing first-line  
38 therapies.<sup>1</sup> The advent of targeted respiratory biologic medications offers promise for patients  
39 with AERD who have had inadequate response to prior standard-of-care approaches. However,  
40 there are limited data to guide selection of the proper respiratory biologic for AERD patients.  
41 Herein we discuss our understanding of the immunopathology of respiratory tract inflammation  
42 in AERD and how that may help guide biologic selection in this patient population.

43

#### 44 **Pathobiology of respiratory tract inflammation**

45 AERD is predominantly a type 2 inflammatory disease characterized by marked tissue  
46 eosinophilia, activated mast cells and dysregulated production of cysteinyl leukotrienes and  
47 prostaglandins.<sup>1</sup> More recently, a subendotype of AERD defined by type 1 and type 3 cytokines  
48 has also been identified.<sup>2</sup> We now know the respiratory tract epithelium in nasal polyp tissue is  
49 also severely dysregulated, with basal cell hyperplasia and glandular cell reductions.<sup>3</sup> Both  
50 intrinsic and extrinsic factors likely drive the epithelial dysregulation and overproduction of  
51 innate epithelial cell-derived type 2 cytokines, interleukin (IL)-33 and thymic stromal  
52 lymphopoietin (TSLP). Both IL-33 and TSLP are elevated in the respiratory tissue of patients  
53 with AERD and play a role in promoting eosinophilic inflammation and mast cell activation with  
54 consequent overproduction of prostaglandin D<sub>2</sub> and leukotrienes.<sup>1</sup>

55 Group 2 innate lymphoid cells (ILC2s) and Th2 cells are abundant in polyp tissue, and  
56 along with mast cells, produce type 2 cytokines such as IL-4, IL-5, and IL-13 (**Figure 1**). IL-4  
57 and IL-13 signal through the common IL-4R $\alpha$  subunit to promote a number of effects, including  
58 tissue fibrosis and remodeling, goblet cell hyperplasia and mucus production, mast cell activation  
59 and survival, and local IgE class switching.<sup>1</sup> Although AERD is not typically considered to be an  
60 atopic disease, a recent study identified a relationship between nasal polyp tissue IgE and  
61 rapidity of nasal polyp regrowth in patients with AERD, suggesting IgE as a marker of severe  
62 disease and perhaps as a driver of ongoing mast cell activation and respiratory tissue  
63 inflammation (**Figure 1**).<sup>4</sup>

64 IL-5 is required for eosinophil survival and activation, but other cell types also express a  
65 functional IL-5R $\alpha$  and recently a possible role for IL-5/IL-5R $\alpha$  signaling on nasal polyp plasma

66 cells in patients with AERD has been identified.<sup>4</sup> While much attention has been focused on the  
67 nasal polyp tissue eosinophilia that is prominent in AERD, complete depletion of eosinophils  
68 from the respiratory tissue with the small molecule dexamipexole did not lead to symptomatic  
69 improvement or reduction in nasal polyposis, suggesting that eosinophils may not be the main  
70 effector cell that drives the chronic inflammation.<sup>5</sup>

## 71 **Targeted therapy with respiratory biologic medications in AERD**

72 Increased understanding of the immunobiology of CRSwNP and asthma in AERD has  
73 resulted in the identification of several type 2 cytokines and cytokine receptors that may be  
74 targeted by available and upcoming biologics. Among these, dupilumab (anti-IL-4R $\alpha$  and  
75 omalizumab (anti-IgE) have been specifically studied in patients with AERD, and both have U.S.  
76 Food and Drug Administration indications for the treatment of moderate-to-severe asthma, and  
77 as add-on therapy for inadequately controlled CRSwNP.

78 Dupilumab inhibits signaling of both IL-4 and IL-13, two pivotal drivers of type 2  
79 inflammation (**Figure 1**). A nested analysis of 19 AERD patients receiving either dupilumab or  
80 placebo in a phase 2a, randomized controlled trial (NCT01920893) demonstrated improved  
81 upper and lower airway symptom control among those with AERD versus CRSwNP patients  
82 without NSAID sensitivity.<sup>6</sup> Specific improvement was seen in the objective nasal polyp score  
83 (least-squares mean difference -2.51 versus placebo and -0.72 versus CRSwNP without NSAID  
84 sensitivity), as well as objective measures of mucosal inflammation and olfaction. Additionally,  
85 subjective improvement in asthma control, sinonasal symptoms and sense of smell/taste was  
86 found in CRSwNP patients with or without comorbid NSAID sensitivity.

87 Elevated IgE is found in nasal polyp tissues and is associated with increasing airway  
88 inflammation in AERD.<sup>4</sup> Omalizumab is an anti-IgE biologic which may improve airway  
89 inflammation in AERD via direct reductions in eosinophil, basophil and mast cell activation  
90 (**Figure 1**). In a study of 21 AERD patients with comorbid aeroallergen sensitivity treated with  
91 omalizumab, Hayashi et al<sup>7</sup> demonstrated decreased biomarkers of mast cell activation  
92 (leukotriene E<sub>4</sub> and a prostaglandin D<sub>2</sub> metabolite) following twelve months of therapy. Patient  
93 symptoms also improved, with reductions in lower airway exacerbations, corticosteroid  
94 utilization, hospitalization and CRSwNP/asthma symptom scores.

95 Anti-IL-5/IL-5R $\alpha$  biologics, which reduce local respiratory tissue eosinophilia in patients  
96 with CRSwNP and asthma, have shown promise in the treatment of severe asthma, and can be  
97 efficacious for upper respiratory symptoms in AERD.<sup>8</sup> However, as isolated depletion of  
98 eosinophils does not provide symptomatic improvement or the reduction of obstructive nasal  
99 polyps,<sup>5</sup> the mechanism of anti-IL-5 biologics in AERD patients may not be limited to its effects  
100 on eosinophils. Additional cellular mechanisms by which IL-5 blockade may provide benefit  
101 include decreased mast cell leukotriene E<sub>4</sub> production and improved function of the respiratory  
102 epithelial barrier (**Figure 1**), as shown in a recent case-control study<sup>9</sup> of AERD patients treated  
103 with mepolizumab. Benralizumab (anti-IL-5R $\alpha$ ) and mepolizumab (anti-IL-5) are currently  
104 being evaluated for the treatment of CRSwNP. In a recently completed phase 3 study of  
105 mepolizumab for CRSwNP, a subgroup analysis of the subjects with co-morbid AERD showed  
106 greater improvement in the co-primary endpoints, nasal obstruction and nasal polyp size, in the  
107 mepolizumab-treated AERD subjects compared to placebo.<sup>10</sup> Benralizumab has a current  
108 indication for severe eosinophilic asthma and its use for CRSwNP is supported by a subgroup  
109 analysis of a phase 3b clinical trial that demonstrated improved 22-item Sinonasal Outcome Test  
110 (SNOT-22) scores among n=153 subjects with comorbid CRSwNP (NCT03170271). While this  
111 field continues to emerge, early findings suggest that only a subset of patients with AERD have  
112 satisfactory clinical response to biologics targeting IL-5/IL-5R $\alpha$ , and dupilumab may improve  
113 respiratory symptoms in AERD patients who have had an inadequate response to anti-IL-5/IL-  
114 5R $\alpha$  biologics.<sup>8</sup>

115 Monoclonal antibodies targeting IL-33 and TSLP are currently under investigation for  
116 treatment of asthma and/or CRSwNP (NCT03469934, NCT03112577, NCT03614923,  
117 NCT03706079). We look forward to studies of these biologics in patients with AERD, as both  
118 cytokines are significantly elevated in tissue from patients with AERD and likely have an  
119 important role in driving mast-cell mediated inflammation.

120

## 121 **Conclusion**

122 Targeted respiratory biologics represent a clinically significant advancement in our  
123 ability to improve treatment outcomes for recalcitrant, type 2 inflammatory diseases such as  
124 AERD. However, these immunomodulatory medications are very costly and many do not yet  
125 have long-term safety or outcome data available, and therefore are not required by nor

126 appropriate for all AERD patients. We therefore use the treatment pathway shown in **Figure 2** to  
127 aid in decision making, and typically offer biologics only following comprehensive sinus surgery  
128 and a trial of aspirin desensitization. Future head-to-head studies and real-world evidence is  
129 needed to support personalized treatment strategies and the identification of the most efficacious  
130 biologic for a given patient.

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131 **References**

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176 **Figure Legends:**

177

178 **Figure 1:** Impact of IgE, IL-5, IL-5R $\alpha$ , and IL-4R $\alpha$  on type 2 inflammation in the upper and  
179 lower airway. IgE, IL-5, IL-5R $\alpha$ , and IL-4R $\alpha$ , which are the targets of currently available  
180 respiratory biologic medications, act on multiple cell types in the upper and lower airway  
181 including eosinophils, basophils, mast cells, epithelium, plasma cells, macrophages, and  
182 smooth muscle.

183

184 **Figure 2:** Proposed treatment algorithm for aspirin desensitization and targeted respiratory  
185 biologics for patients with AERD.

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